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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/762,646 Filing Date TRANSMITTAL January 20, 2004 First Named Inventor **FORM** Brian J. Lowe Art Unit 3731 **Examiner Name** Sam, Charles H. (to be used for all correspondence after initial filing) Attorney Docket Number 1001.1653101 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Postcard Receipt Request for Refund **Express Abandonment Request** Certification for IDS/Form 1449 3 foreign references CD, Number of CD(s) IDS or Form 1449 Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name

	CERTIFICATE O	F MAILING				`
having an Express Mail n	correspondence is being deposited with nailing label No. EL984937306US_addr 50 on the date shown below:	the United States Po essed to: Mail Stop A	ostal Service , "Expre Amendment, Commi	ess M ssione	lail Post Office to Addres er for Patents, P.O. Box	s" 1450
Typed or printed name	JoAnn Lindman		D	ate	5-17-05	

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Reg. No.

36,926

Signature

Date

Printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/762,646

Confirmation No.:

5311

Applicant

Brian J. Lowe et al.

Customer No. :

28075 1001.1653101

Filed TC/A.U. January 20, 2004

Docket No.

Sam, Charles H.

3731

Examiner

Title:

SHEATH FOR USE WITH AN EMBOLIC PROTECTION FILTERING

DEVICE

## CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.97(e)**

Mail Stop AMENDMENT Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL984937306US , in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this /7 day of May,

JoAnn Lindman

Dear Sir:

This certification is being made for the Information Disclosure Statement/Form 1449 accompanying this certification.

I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).----or

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to an6y individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Dated: May 17, 200

Glenn M. Seager, Reg. No. 36,926

lv submitted.

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

FORM 1449*  PENFORMATION DISCLOSURE STATEMENT			Docket Number:	Applica	Application Number:		
			1001.1653101	10/762,646			
IN AN APPLICA	Applicant: Brian J. Lowe						
Use several sheets if necessary)			Filing Date: January 20, 2004		Group A	rt Unit: 3731	
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THAUTHART		U.S. Pater	nt Documents				
Examiner DOCUMENT NO.	DATE	NAME		CI	_ASS	S SUBCLASS	
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DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.